

PTO 1390 Page 1 of 1

US Dept. of Commerce Pat. &amp; Trademark Office

Attorney's Docket No.

22054

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 USC 371

US. Application No. (if known)

09/980573

INTERNATIONAL APP. NO.

PCT/EP00/03997

INTERNATIONAL FILING DATE

4 May 2000

PRIORITY DATE CLAIMED

4 May 1999

TITLE OF INVENTION

DEVICE FOR DETECTING ELECTRICAL POTENTIALS IN THE FOREHEAD AREA OF A PATIENT

APPLICANT(S) FOR DO/EO/US

Harald GINGER et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EU/US) the following .

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☐ This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 317(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 USC 371(c)(2)).
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Patent Office.
6. ☐ A translation of the International application into English.
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)).
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau.
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11. to 16. below concern documents or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An Assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items of information.

With  
Surcharge  
fee

US Application no (if known) <b>09/980573</b>	International Application no. <b>PCT/EP00/03997</b>	Attorney's Docket No. <b>22054</b>
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17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Search report has been prepared by the EPO or JP ..... \$890.00  Int'l prel. exam. fee paid to USPTO (37 CFR 1.482) ..... \$710.00  No int'l prel. exam. fee paid to USPTO (37 CFR 1.482) but int'l search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00  Neither int'l prel. exam fee (37 CFR 1.482) nor int'l search fee (37 CFR 1.455(a)(2)) paid to USPTO ..... \$1040.00  Intl. prel. exam. fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Art. 33(2-4) ..... \$100.00  <div style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT</div>	<b>CALCULATIONS PTO USE ONLY</b>												
Surcharge of \$130.00 for furnishing oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<b>\$130</b>												
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NO. FILED</th> <th style="width: 20%;">NO. EXTRA</th> <th style="width: 20%;">RATE</th> </tr> <tr> <td>Total claims</td> <td></td> <td></td> <td></td> </tr> <tr> <td>Ind. claims</td> <td></td> <td></td> <td></td> </tr> </table>	CLAIMS	NO. FILED	NO. EXTRA	RATE	Total claims				Ind. claims				
CLAIMS	NO. FILED	NO. EXTRA	RATE										
Total claims													
Ind. claims													
MULTIPLE DEP. CLAIM(S) (if applicable) (see prel. amt.)													
TOTAL OF ABOVE CALCULATIONS	\$130												
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (37 CFR 1.2, 1.27, 1.28)	\$0												
SUBTOTAL	\$130												
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).													
TOTAL NATIONAL FEE	\$130												
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The Assignment may be accompanied by an appropriate PTO-1595 cover sheet (37 CFR 3.28, 3.39)	\$40												
TOTAL FEES ENCLOSED	\$170												
02/19/2002 NGUYEN 00000150 09980573  01 FC:154 130.00 OP	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Amt to be refunded</td> <td style="width: 50%;"></td> </tr> <tr> <td>Amt to be charged</td> <td></td> </tr> </table>	Amt to be refunded		Amt to be charged									
Amt to be refunded													
Amt to be charged													

  

a. ☐ A check in the amount of \$130 to cover the above fees is enclosed  
 b. ☐ Please charge my deposit account 18-2025 \$00.00 to cover the above fees. A copy of this sheet is enclosed.  
 c. ☒ Please charge the amount due to the credit card identified in the attached PTO-2038.  
 d. ☒ The commissioner is authorized to charge any additional fees which may be required or credit any overpayment to deposit account 18-2025. A copy of this sheet is enclosed  
 e. ☒ A PTO-2038 in the amount of \$40 to cover recordal of the Assignment is enclosed

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:

**The Firm of Karl F. Ross P.C.**  
**5676 Riverdale Ave. Box 900**  
**Riverdale (Bronx), NY 10471**

Herbert Dubno, Reg. No. 19,752

JCO7 Rec'd PCT/PTO 06 FEB 2002

CERTIFICATE OF EXAMINATION  
FIRST CLASS MAIL

22054

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail by Express Mail if the number of the Express Mail mailing label is provided hereon. Envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on **FEB - 6 2002** (Date of Deposit)

IN THE U.S. PATENT AND TRADEMARK OFFICE

**EV018431275**  
Express Mail Label Number

Inventor **Harald GINGER et al**  
Patent App. **09/980,573 (US Nat'l phase of PCT/EP00/03997)**  
Filed **31 October 2001**  
For **DEVICE FOR DETECTING ELECTRICAL POTENTIALS IN THE FOREHEAD AREA OF A PATIENT**

Hon. Commissioner of Patents  
Washington, DC 20231

**RECORD OF TRANSMITTAL--PCT APPLICATION**

<input checked="" type="checkbox"/>	PCT Transmittal	
<input type="checkbox"/>	PCT Application	
<input type="checkbox"/>	Translation	
<input type="checkbox"/>	Sheets of Drawing (0)	
<input checked="" type="checkbox"/>	PCT Declaration	
<input type="checkbox"/>	PCT Documents	
<input type="checkbox"/>	International Search Report	
<input type="checkbox"/>	Preliminary Amendment	
<input checked="" type="checkbox"/>	Assignment (with PTO-1595 and sep. PTO-2038)	
<input checked="" type="checkbox"/>	PTO-2038 for Official Fees	
<input checked="" type="checkbox"/>	Late filing	\$130.00
	<b>Total</b>	<b>\$130.00</b>

Please charge any fees not covered by an enclosed PTO-2038 to account 18-2025 of the undersigned.

5 February 2002

5676 Riverdale Ave. Box 900  
Bronx, NY 10471-0900  
Tel: (718) 884-6600  
Fax: (718) 601-1099  
Customer No. 535  
rg

Respectfully submitted,  
The Firm of Karl F. Ross P.C.

  
Herbert Dubno, Reg. 19,752